

## ANNEXURE I

### Format to be submitted by listed entity on quarterly basis

1. Name of Listed Entity - **Housing & Urban Development Corporation Limited**
2. Quarter ending - **31-Mar-2022**

#### i. Composition Of Board of Director

| Title<br>(Mr./Ms) | Name of the Director | Category<br>(Chairperson/<br>Executive/Non-<br>Executive/<br>Independent/<br>Nominee) | Initial Date of<br>Appointment | Date of<br>Appointment | Date<br>of<br>cess<br>ation | Tenur<br>e | Whe<br>ther<br>spec<br>ial<br>resol<br>ution<br>pass<br>ed? | No. of<br>Directo<br>rship | No of<br>Independent<br>Directorship<br>in listed<br>entities<br>including this<br>listed entity | No of<br>members<br>hips | No of<br>post of<br>Chairpe<br>rson |
|-------------------|----------------------|---|--------------------------------|------------------------|-----------------------------|------------|---|----------------------------|--|--------------------------|-------------------------------------|
| Mr.               | Kamran Rizvi         | C & ED,ND   | 22-Oct-2020                    | 30-Sep-2021            |                             |            | NA  | 2                          | 0  | 0                        | 0                                   |
| Mr.               | Muniappa Nagaraj     | ED  | 01-Feb-2019                    | 30-Sep-2021            |                             |            | NA  | 1                          | 0  | 0                        | 0                                   |
| Mr.               | D Guhan              | ED  | 31-Dec-2019                    | 30-Sep-2020            |                             |            | NA  | 1                          | 0  | 1                        | 0                                   |
| Mr.               | Shyam Sunder Dubey   | NED,ND  | 08-Aug-2019                    | 25-Sep-2019            |                             |            | NA  | 2                          | 0  | 2                        | 0                                   |
| Mr.               | Kuldip Narayan       | NED,ND  | 02-Nov-2021                    | 30-Mar-2022            |                             |            | NA  | 1                          | 0  | 0                        | 0                                   |
| Mr.               | Ravindra Kumar Ray   | ID  | 22-Nov-2021                    | 30-Mar-2022            |                             | 36         | NA  | 1                          | 1  | 1                        | 1                                   |
| Mrs.              | Sabitha Bojan        | ID  | 22-Nov-2021                    | 30-Mar-2022            |                             | 36         | NA  | 1                          | 1  | 2                        | 0                                   |
| Mr.               | Siyaram Singh        | ID  | 22-Nov-2021                    | 30-Mar-2022            |                             | 36         | NA  | 1                          | 1  | 2                        | 1                                   |
| Mr.               | Banshi Lal Gujar     | ID  | 09-Jan-2022                    | 30-Mar-2022            |                             | 36         | NA  | 1                          | 1  | 1                        | 0                                   |

|   |     |
|---|-----|
| Company Remarks                             |     |
| Whether Permanent chairperson appointed     | Yes |
| Whether Chairperson is related to MD or CEO | No  |

#### ii. Composition of Committees

##### a. Audit Committee

| Sr.<br>No. | Name of the Director | Category | Chairperson/Membership | Appointment Date | Cessation Date |
|------------|----------------------|----------|------------------------|------------------|----------------|
| 1          | Ravindra Kumar Ray   | ID       | Chairperson            | 22-Nov-2021      |                |

|   |                    |        |        |             |  |
|---|--------------------|--------|--------|-------------|--|
| 2 | Shyam Sunder Dubey | NED,ND | Member | 08-Aug-2019 |  |
| 3 | Siyaram Singh      | ID     | Member | 22-Nov-2021 |  |
| 4 | Sabitha Bojan      | ID     | Member | 22-Nov-2021 |  |
| 5 | Banshi Lal Gujar   | ID     | Member | 09-Jan-2022 |  |

|   |     |
|---|-----|
| Company Remarks                         |     |
| Whether Permanent chairperson appointed | Yes |

**b. Stakeholders Relationship Committee**

| Sr. No. | Name of the Director | Category | Chairperson/Membership | Appointment Date | Cessation Date |
|---------|----------------------|----------|------------------------|------------------|----------------|
| 1       | Siyaram Singh        | ID       | Chairperson            | 22-Nov-2021      |                |
| 2       | Sabitha Bojan        | ID       | Member                 | 22-Nov-2021      |                |
| 3       | D Guhan              | ED       | Member                 | 31-Dec-2019      |                |

|   |     |
|---|-----|
| Company Remarks                         |     |
| Whether Permanent chairperson appointed | Yes |

**c. Risk Management Committee**

| Sr. No. | Name of the Director | Category | Chairperson/Membership | Appointment Date | Cessation Date |
|---------|----------------------|----------|------------------------|------------------|----------------|
| 1       | Shyam Sunder Dubey   | NED,ND   | Chairperson            | 08-Aug-2019      |                |
| 2       | Muniappa Nagaraj     | ED       | Member                 | 01-Feb-2019      |                |
| 3       | D Guhan              | ED       | Member                 | 31-Dec-2019      |                |
| 4       | Ravindra Kumar Ray   | ID       | Member                 | 22-Nov-2021      |                |

|   |     |
|---|-----|
| Company Remarks                         |     |
| Whether Permanent chairperson appointed | Yes |

**d. Nomination and Remuneration Committee**

| Sr. No. | Name of the Director | Category | Chairperson/Membership | Appointment Date | Cessation Date |
|---------|----------------------|----------|------------------------|------------------|----------------|
| 1       | Sabitha Bojan        | ID       | Chairperson            | 22-Nov-2021      |                |
| 2       | Siyaram Singh        | ID       | Member                 | 22-Nov-2021      |                |
| 3       | Kuldip Narayan       | NED,ND   | Member                 | 02-Nov-2021      |                |

|   |     |
|---|-----|
| Company Remarks                         |     |
| Whether Permanent chairperson appointed | Yes |

iii. **Meeting of Board of Directors**

| Date(s) of Meeting (if any) in the previous quarter | Date(s) of Meeting (if any) in the relevant quarter | Whether requirement of Quorum met | Number of Directors present | Number of Independent Directors present |
|---|---|-----------------------------------|-----------------------------|---|
| 21-Oct-2021   | 27-Jan-2022   | Yes                               | 9                           | 4                                       |
| 11-Nov-2021   | 07-Feb-2022   | Yes                               | 9                           | 4                                       |
| 28-Dec-2021   | 11-Feb-2022   | Yes                               | 9                           | 4                                       |
|   | 22-Mar-2022   | Yes                               | 9                           | 4                                       |

|   |    |
|---|----|
| Company Remarks   |    |
| Maximum gap between any two consecutive (in number of days) | 38 |

iv. **Meeting of Committees**

| Name of the Committee               | Date(s) of meeting during of the committee in the previous quarter | Date(s) of meeting of the committee in the relevant quarter | Whether requirement of Quorum met (Yes/No) | Number of Directors present | Number of independent directors present |
|-------------------------------------|--|---|--|-----------------------------|---|
| Audit Committee                     | 11-Nov-2021  |   | No   | 3                           | 0                                       |
| Audit Committee                     |  | 11-Feb-2022   | Yes  | 4                           | 3                                       |
| Stakeholders Relationship Committee | 11-Nov-2021  |   | No   | 3                           | 0                                       |
| Nomination & Remuneration Committee |  | 10-Feb-2022   | Yes  | 3                           | 2                                       |
| Nomination & Remuneration Committee |  | 29-Mar-2022   | Yes  | 3                           | 2                                       |
| Risk Management Committee           |  | 08-Mar-2022   | Yes  | 4                           | 1                                       |

|   |    |
|---|----|
| Company Remarks   |    |
| Maximum gap between any two consecutive (in number of days) [Only for Audit | 91 |

|            |  |
|------------|--|
| Committee] |  |
|------------|--|

v. **Related Party Transactions**

| <b>Subject</b>   | <b>Compliance status (Yes/No/NA)</b> | <b>Remark</b> |
|--|--------------------------------------|---------------|
| Whether prior approval of audit committee obtained   | Not Applicable                       |               |
| Whether shareholder approval obtained for material RPT   | Not Applicable                       |               |
| Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee | Not Applicable                       |               |

|   |  |
|---|--|
| Disclosure of notes on related party transactions and<br>Disclosure of notes of material related party transactions |  |
|---|--|

**VI. Affirmations**

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **No**
2. The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015
  - a. Audit Committee - **Yes**
  - b. Nomination & remuneration committee - **Yes**
  - c. Stakeholders' relationship committee - **Yes**
  - d. Risk management committee (applicable to the top 100 listed entities) - **Yes**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.- **Yes**
5. a. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. - **Yes**  
 b. Any comments/observations/advice of Board of Directors may be mentioned here:

**As on 31.03.2022, HUDCO consists of Chairman & Managing Director (Additional Charge), two Functional Directors (Executive Director), two Government Nominee Directors and four Independent Directors (including one women director) against the requirement of five Independent Directors. The power to appoint directors' vests with The President of India exercised through Administrative Ministry, i.e., MoHUA.**

**Name :** Harish Kumar Sharma  
**Designation :** Company Secretary & Compliance Officer

## ANNEXURE II

**Format to be submitted by listed entity at the end of the financial year (for the whole of financial year)**

| <b>I. Disclosure on website in terms of Listing Regulations</b>  |                                      |                       |   |
|--|--------------------------------------|-----------------------|---|
| <b>Item</b>  | <b>Compliance status (Yes/No/NA)</b> | <b>Company Remark</b> | <b>Website</b>  |
| <b>As per regulation 46(2) of the LODR:</b>  |                                      |                       |   |
| Details of business  | Yes                                  |                       | <a href="https://hudco.org.in/">https://hudco.org.in/</a>   |
| Terms and conditions of appointment of   | Yes                                  |                       | <a href="https://hudco.org.in/writereaddata/disclosure-">https://hudco.org.in/writereaddata/disclosure-</a>   |
| Composition of various committees of board of  | Yes                                  |                       | <a href="https://hudco.org.in/writereaddata/COD.pdf">https://hudco.org.in/writereaddata/COD.pdf</a>   |
| Code of conduct of board of directors and senior   | Yes                                  |                       | <a href="https://hudco.org.in/writereaddata/codeofconduct.">https://hudco.org.in/writereaddata/codeofconduct.</a>   |
| Details of establishment of vigil mechanism/   | Yes                                  |                       | <a href="https://www.hudco.org.in/writereaddata/wbpolicy.">https://www.hudco.org.in/writereaddata/wbpolicy.</a>   |
| Criteria of making payments to non-executive   | Yes                                  |                       | <a href="https://hudco.org.in/writereaddata/disclosure-">https://hudco.org.in/writereaddata/disclosure-</a>   |
| Policy on dealing with related party transactions  | Yes                                  |                       | <a href="http://www.hudco.org.in">www.hudco.org.in</a>  |
| Policy for determining 'material' subsidiaries   | Yes                                  |                       | <a href="http://WWW.hudco.org.in">WWW.hudco.org.in</a>  |
| Details of familiarization programs imparted to  | Yes                                  |                       | <a href="https://hudco.org/writereaddata/Stat-">https://hudco.org/writereaddata/Stat-</a>   |
| Email address for grievance redressal and other relevant details entity who are responsible for assisting and handling investor grievances           | Yes                                  |                       | <a href="https://hudco.org.in/writereaddata/disclosure-listing-regulations.pdf">https://hudco.org.in/writereaddata/disclosure-listing-regulations.pdf</a>   |
| Contact information of the designated officials of   | Yes                                  |                       | <a href="https://hudco.org.in/writereaddata/disclosure-">https://hudco.org.in/writereaddata/disclosure-</a>   |
| Financial results  | Yes                                  |                       | <a href="https://hudco.org.in//Site/FormTemplate/frmTemp1">https://hudco.org.in//Site/FormTemplate/frmTemp1</a>   |
| Shareholding pattern   | Yes                                  |                       | <a href="https://hudco.org.in//Site/FormTemplate/frmTemp1">https://hudco.org.in//Site/FormTemplate/frmTemp1</a>   |
| Details of agreements entered into with the media companies and/or their associates  | Yes                                  |                       | <a href="https://hudco.org.in/writereaddata/disclosure-listing-regulations.pdf">https://hudco.org.in/writereaddata/disclosure-listing-regulations.pdf</a>   |
| Schedule of analyst or institutional investor meet and presentations made by the listed entity to analysts or institutional investors simultaneously | Yes                                  |                       | <a href="https://hudco.org.in//Site/FormTemplate/frmTemp1PLargeTC1C.aspx?MnId=419&amp;ParentID=391">https://hudco.org.in//Site/FormTemplate/frmTemp1PLargeTC1C.aspx?MnId=419&amp;ParentID=391</a> |
| New name and the old name of the listed entity   | Yes                                  |                       | <a href="https://hudco.org.in/writereaddata/disclosure-">https://hudco.org.in/writereaddata/disclosure-</a>   |
| Advertisements as per regulation 47 (1)  | Yes                                  |                       | <a href="https://hudco.org.in//Site/FormTemplate/frmTemp1">https://hudco.org.in//Site/FormTemplate/frmTemp1</a>   |
| Credit rating or revision in credit rating obtained by   | Yes                                  |                       | <a href="https://hudco.org.in//Site/FormTemplate/frmTemp1">https://hudco.org.in//Site/FormTemplate/frmTemp1</a>   |
| Separate audited financial statements of each  | Not                                  |                       |   |
| <b>As per other regulations of the LODR:</b>   |                                      |                       |   |
| Whether company has provided information under separate section on its website as per Regulation 46(2)   | Yes                                  |                       | <a href="https://hudco.org/index.aspx">https://hudco.org/index.aspx</a>   |

|  |                          |                                    |   |
|--|--------------------------|------------------------------------|---|
| Materiality Policy as per Regulation 30  | Yes                      |                                    | <a href="https://hudco.org.in//Site/FormTemplate/frmTemp1">https://hudco.org.in//Site/FormTemplate/frmTemp1</a> |
| Dividend Distribution policy as per Regulation 43A   | Yes                      |                                    | <a href="https://www.hudco.org.in//writereaddata/DDP.pdf">https://www.hudco.org.in//writereaddata/DDP.pdf</a>   |
| It is certified that these contents on the website of  | Yes                      |                                    | <a href="https://hudco.org/index.aspx">https://hudco.org/index.aspx</a>   |
| <b>II Annual Affirmations</b>  |                          |                                    |   |
| <b>Particulars</b>   | <b>Regulation Number</b> | <b>Compliance status (Yes/No/)</b> | <b>Company Remark</b>   |
| <i>Independent director(s) have been appointed in terms of specified criteria of 'independence' and/or 'eligibility'</i> | 16(1)(b) & 25(6)         | Yes                                |   |
| <i>Board composition</i>   | 17(1), 17(1A) & 17(1B)   | No                                 | As on 31.03.2022, HUDCO consists of Chairman & Managing Director (Additional Charge), two                       |
| <i>Meeting of Board of directors</i>   | 17(2)                    | Yes                                |   |
| <i>Quorum of Board meeting</i>   | 17(2A)                   | Yes                                |   |
| <i>Review of Compliance Reports</i>  | 17(3)                    | Yes                                |   |
| <i>Plans for orderly succession for appointments</i>   | 17(4)                    | Yes                                |   |
| <i>Code of Conduct</i>   | 17(5)                    | Yes                                |   |
| <i>Fees/compensation</i>   | 17(6)                    | Yes                                |   |
| <i>Minimum Information</i>   | 17(7)                    | Yes                                |   |
| <i>Compliance Certificate</i>  | 17(8)                    | Yes                                |   |
| <i>Risk Assessment &amp; Management</i>  | 17(9)                    | Yes                                |   |
| <i>Performance Evaluation of Independent Directors</i>   | 17(10)                   | Yes                                |   |
| <i>Recommendation of Board</i>   | 17(11)                   | Yes                                |   |
| <i>Maximum number of directorship</i>  | 17A                      | Yes                                |   |
| <i>Composition of Audit Committee</i>  | 18(1)                    | Yes                                |   |
| <i>Meeting of Audit Committee</i>  | 18(2)                    | Yes                                |   |
| <i>Composition of nomination &amp; remuneration committee</i>  | 19(1) & (2)              | Yes                                |   |
| <i>Quorum of Nomination and Remuneration Committee meeting</i>   | 19(2A)                   | Yes                                |   |
| <i>Meeting of nomination &amp; remuneration committee</i>  | 19(3A)                   | Yes                                |   |
| <i>Composition of Stakeholder Relationship Committee</i>   | 20(1), 20(2) and 20(2A)  | Yes                                |   |
| <i>Meeting of stakeholder relationship committee</i>   | 20(3A)                   | Yes                                |   |

|  |                          |                |  |
|--|--------------------------|----------------|--|
| <i>Composition and role of risk management committee</i>   | 21(1),(2),(3), (4)       | Yes            |  |
| <i>Meeting of Risk Management Committee</i>  | 22                       | No             | During the year one meeting of Risk Management Committee was held on 8th |
| <i>Vigil Mechanism</i>   | 22                       | Yes            |  |
| <i>Policy for related party Transaction</i>  | 23(1),(1A),(             | Yes            |  |
| <i>Prior or Omnibus approval of Audit Committee for all related party transactions</i>                                   | 23(2), (3)               | Not Applicable |  |
| <i>Approval for material related party transactions</i>  | 23(4)                    | Not Applicable |  |
| <i>Disclosure of related party transactions on consolidated basis</i>  | 23(9)                    | Yes            |  |
| <i>Composition of Board of Directors of unlisted material Subsidiary</i>   | 24(1)                    | Not Applicable |  |
| <i>Other Corporate Governance requirements with respect to subsidiary of listed entity</i>                               | 24(2),(3),(4), (5) & (6) | Not Applicable |  |
| <i>Annual Secretarial Compliance Report</i>  | 24(A)                    | Yes            |  |
| <i>Alternate Director to Independent Director</i>  | 25(1)                    | Not Applicable |  |
| <i>Maximum Tenure</i>  | 25(2)                    | Yes            |  |
| <i>Meeting of independent directors</i>  | 25(3) & (4)              | Yes            |  |
| <i>Familiarization of independent directors</i>  | 25(7)                    | Yes            |  |
| <i>Declaration from Independent Director</i>   | 25(8) & (9)              | Yes            |  |
| <i>D &amp; O Insurance for Independent Directors</i>   | 25(10)                   | Yes            |  |
| <i>Memberships in Committees</i>   | 26(1)                    | Yes            |  |
| <i>Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel</i> | 26(3)                    | Yes            |  |
| <i>Disclosure of Shareholding by Non- Executive Directors</i>  | 26(4)                    | Yes            |  |
| <i>Policy with respect to Obligations of directors and senior management</i>   | 26(2) & 26(5)            | Yes            |  |

|                          |  |
|--------------------------|--|
| <b>Other Information</b> |  |
|--------------------------|--|

**III Affirmations:**

The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied. - **Not Applicable**

|                          |  |
|--------------------------|--|
| <b>Other Information</b> |  |
|--------------------------|--|

**Name** : Harish Kumar Sharma  
**Designation** : Company Secretary & Compliance Officer



# **ANNEXURE IV**

|          |               |                |
|----------|---------------|----------------|
| %symbol% | %companyName% | %quarterEnded% |
|----------|---------------|----------------|

**(A) Any loan or any other form of debt advanced by the listed entity directly or indirectly to**

| Aggregate amount advanced during six months            |  |   |  | Balance outstanding at the end of six months           |  |   |  |
|--|--|---|--|--|--|---|--|
| <i>Promoter or any other entity controlled by them</i> | <i>Promoter Group or any other entity controlled by them</i> | <i>Directors (including relatives) or any other entity controlled by them</i> | <i>KMPs or any other entity controlled by them</i> | <i>Promoter or any other entity controlled by them</i> | <i>Promoter Group or any other entity controlled by them</i> | <i>Directors (including relatives) or any other entity controlled by them</i> | <i>KMPs or any other entity controlled by them</i> |
| 0  | 0  | 0   | 138000   | 0  | 0  | 0   | 681952   |

**(B) Any guarantee/ comfort letter (by whatever name called) provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:**

| Entity  | Type (guarantee, comfort letter etc.) | Aggregate amount of issuance during six months | Balance outstanding at the end of six months (taking into account any invocation) |
|---|---------------------------------------|--|---|
| <i>Promoter or any other entity controlled by them</i>                        | 0                                     | 0  | 0   |
| <i>Promoter Group or any other entity controlled by them</i>                  | 0                                     | 0  | 0   |
| <i>Directors (including relatives) or any other entity controlled by them</i> | 0                                     | 0  | 0   |
| <i>KMPs or any other entity controlled by them</i>                            | 0                                     | 0  | 0   |

**(C) Any security provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:**

| <b>Entity</b>   | <b>Type of Security (cash, shares etc.)</b> | <b>Aggregate value of security provided during six months</b> | <b>Balance outstanding at the end of six months</b> |
|---|---|---|---|
| <i>Promoter or any other entity controlled by them</i>                        | 0   | 0   | 0   |
| <i>Promoter Group or any other entity controlled by them</i>                  | 0   | 0   | 0   |
| <i>Directors (including relatives) or any other entity controlled by them</i> | 0   | 0   | 0   |
| <i>KMPs or any other entity controlled by them</i>                            | 0   | 0   | 0   |

**(D) If the Listed Entity would like to provide any other information the same may be indicated here**

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|  |
|--|

**Affirmations**

*All loans (or other form of debt), guarantees, comfort letters (by whatever name called) or securities in connection with any loan(s) (or other form of debt) given directly or indirectly by the listed entity to promoter(s), promoter group, director(s) (including their relatives), key managerial personnel (including their relatives) or any entity controlled by them are in the economic interest of the company*

**Company Remarks in case of non-compliant status**

**Name:** D Guhan  
**Designation:** Chief Financial Officer  
**Place:** New Delhi  
**Date:** 13-Apr-2022